



JC02 Rec'd PCT/PTO 26 MAY 2005

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/521,796
		Filing Date	January 21, 2005
		First Named Inventor	Matthew William VINCENT
		Group Art Unit	Unknown
		Examiner Name	Unknown
Total Number of Pages in This Submission		Attorney Docket Number	745003-1000

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO Form 1449 w/ 8 references cited
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jeffrey A. Lindeman, Reg. No. 34,658 Nixon Peabody LLP 401 9 <sup>th</sup> Street, N.W. Suite 900 Washington, D.C. 20004-2128
Signature	
Date	May 26, 2005

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]	
I hereby certify that this correspondence is being:	
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PATENT #4  
ATTORNEY DOCKET NO. 745003-1000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matthew William VINCENT, *et al.*

Application No.: 10/521,796

Filed: January 21, 2005

For: COMPOSITION

)  
)  
) Group Art Unit: Unassigned  
)  
) Examiner: Unassigned  
)  
)  
)

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
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Randolph Building  
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Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98. As the U.S.P.T.O. has waived the requirement of submitting copies of U.S. patents and patent applications listed in an information disclosure statement, no copies of the listed U.S. patents accompany this paper.

The documents are being submitted before the first Office Action on the merits, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).


The following is a concise statement of relevance for document DE 2,229,918:

As discussed in paragraph 25 of the specification DE 2,229,918 disclosed C<sub>8</sub>-C<sub>12</sub> fatty acids as polyglycerol esters, sorbitan esters or diacetyl tartaric acid esters of glycerol esters of the said fatty acids.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

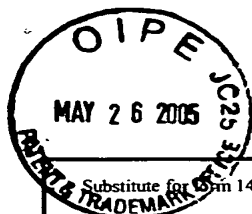
Respectfully submitted,

By:   
Jeffrey A. Lindeman  
Registration No. 34,658

Dated: May 26, 2005

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Substitute for Form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	10/521,796
				Filing Date	January 21, 2005
				First Named Inventor	Matthew William VINCENT
				Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	745003-1000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 4,451,265	05-29-1984	Schwab	
		US 4,451,267	05-29-1984	Schwab et al.	
		US 4,482,666	11-13-1984	Reeves	
		US 4,173,455	11-06-1979	Fodor et al.	
		US 4,509,950	05-09-1985	Baker	
		US 6,224,642	05-01-2001	Daly et al.	
		US 4,728,340	03-01-1988	Vos	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		AU 198436343 A1	06-13-1985	Bacon		√
		DE 2 229 918	01-17-1974	Boebrocjer		
		EP 0 386 550	09-12-1990	Fuel Tech, Inc.		√
		EP 0 874 039 A1	10-28-1998	Dimitraki et al.		√
		GB 217,056	06-12-1924	Mellersh-Jackson		√
		WO 97/34969	09-25-1997	Haupais et al.		√
		WO 02/02720 A2	01-10-200	Brewer et al.		√
		WO 03/078552 A2	09-25-2003	Daly et al.		√

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.